

ET420197824US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

JOHNNY R. BREZINA, CHRISTOPHER M. GABEL,
BRIAN M. KERRIGAN, ROGER T. LINDQUIST, GERALD D.
MALAGRINO, JR.

Serial No.

Filed:

Title: APPARATUS AND METHOD FOR
CONTROLLING AN OPTICAL TRANSCEIVER

Examiner:

Group Art Unit:

GM
H2
710.02

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97-1.98, Applicants would like to call the Examiner's attention to the references listed on the enclosed form PTO-1449. Copies of these references are also enclosed.

Applicants believe that none of the listed references, alone or in combination disclose or suggest Applicant's claimed invention.

Dated: 11/2/01

Respectfully submitted,


CASIMER K. SALYS
Registration No. (28,900)
Attorney for Applicants

IBM CORPORATION
Intellectual Property Law Department
11400 Burnet Road - 4054
Austin, Texas 78758
(512) 823-0092

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11/08/01

FORM PTO-1449		SERIAL NO.	CASE NO. AUS920010728JS1 (9000764)
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		FILING DATE	GROUP ART UNIT
(use several sheets if necessary)		APPLICANT(S): JOHNNY R. BREZINA CHRISTOPHER M. GABEL BRIAN M. KERRIGAN ROGER T. LINDQUIST GERALD D. MALAGRINO, JR.	

REFERENCE DESIGNATION **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	FILING DATE	NAME	CLASS/ SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A1	U.S. Patent Application entitled "OPTICAL ALIGNMENT IN A FIBER OPTIC TRANSCEIVER", (Inventors Johnny R. Brezina, et al.)
	A2	U.S. Patent Application entitled "PACKAGING ARCHITECTURE FOR A MULTIPLE ARRAY TRANSCEIVER USING A CONTINUOUS FLEXIBLE CIRCUIT", (Inventors Johnny R. Brezina, et al.)
	A3	U.S. Patent Application entitled, "MOUNTING A LENS ARRAY IN A FIBER OPTIC TRANSCEIVER", (Inventors Johnny R. Brezina, et al.)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609;
Draw line through citation if not in conformance and not considered. Include copy of this form with next
communication to applicant.